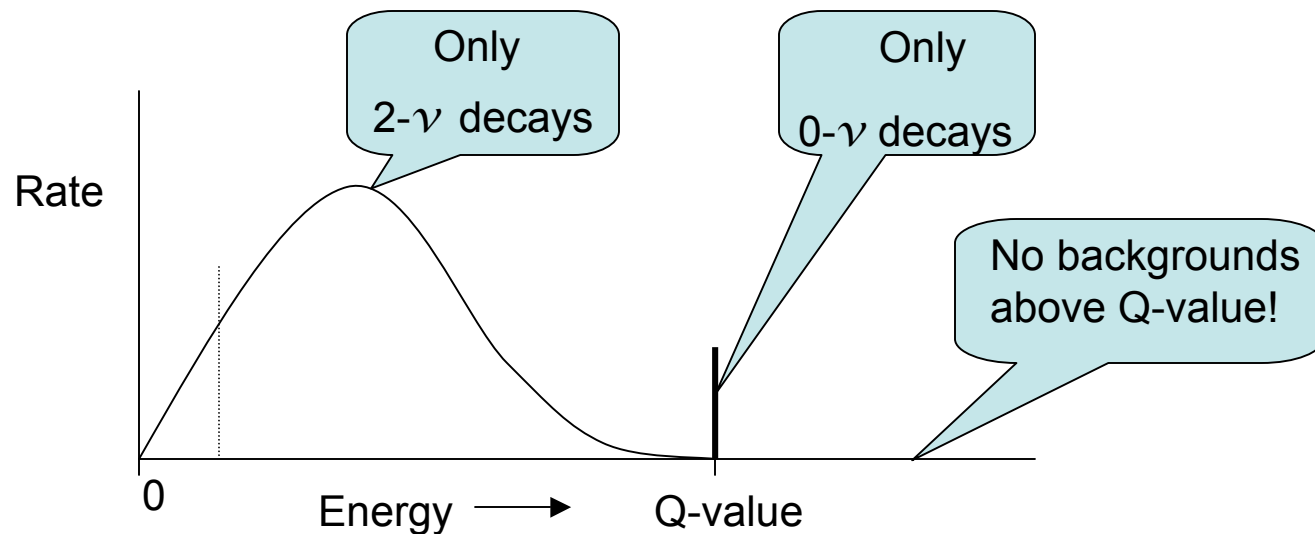


**Optimal Detectors for both  
WIMP &  $0\nu\beta\beta$  decay searches:**  
High-pressure  $^{136}\text{Xe}$  Gas TPC

David Nygren  
LBNL / Stockholm U

# Double beta decay

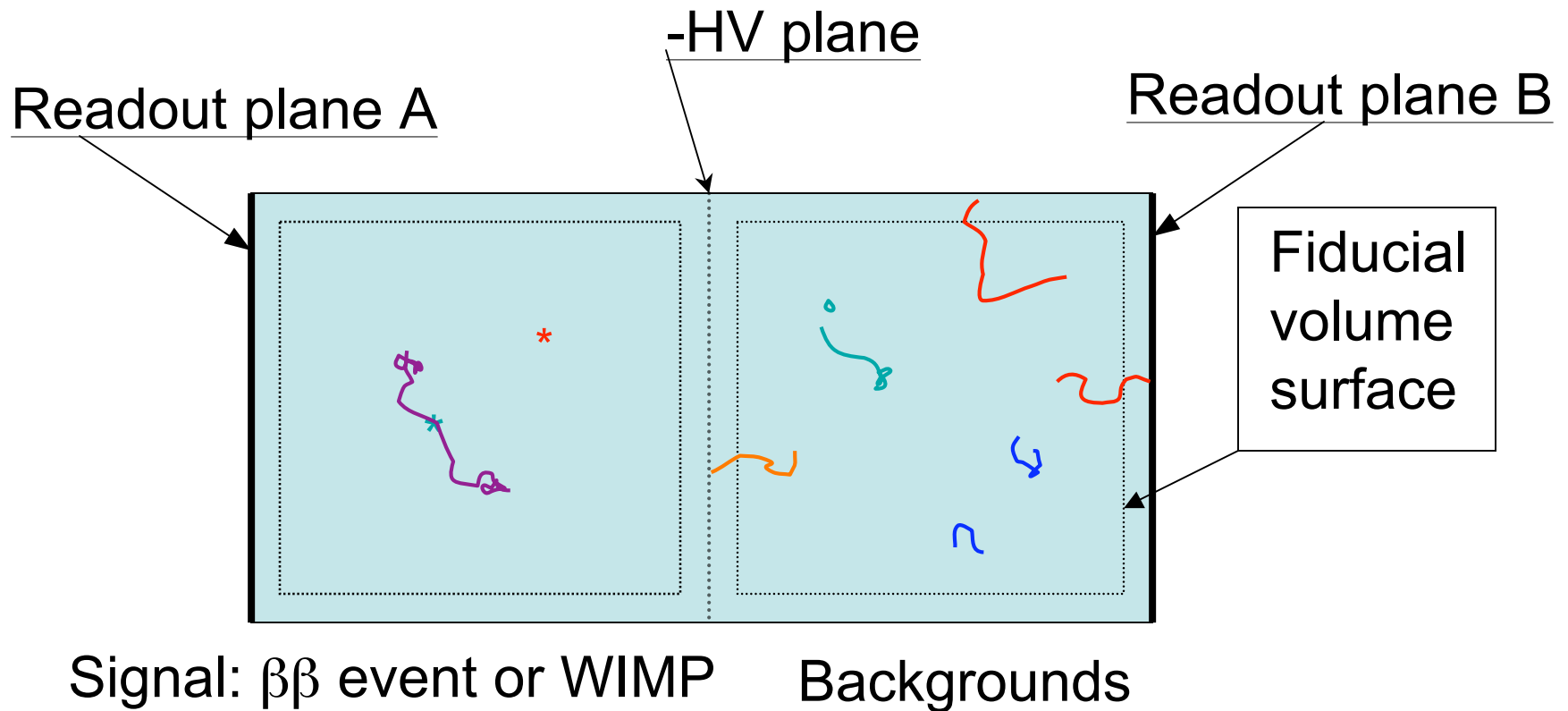


The ideal result we seek is a **spectrum of all  $\beta\beta$  events**, with negligible or very small backgrounds.

# TPC: Basic Advantages...

- **Fiducial volume surface:**
  - Single, continuous, fully active, variable,...
  - 100% rejection of charged particles (surfaces)
  - but: TPC needs a  $t_0$  to place event in  $z$
- **Tracking:**
  - Available in gas phase only
  - Topological rejection of single electron events

# TPC Signal & Backgrounds



# TPC: Basic Advantages...

- **Fiducial volume surface:**
  - Single, continuous, fully active, variable,...
  - 100% rejection of charged particles
  - but: TPC needs a  $t_0$  to place event in  $z$
- **Tracking:**
  - Available in gas phase only
  - Topological rejection of single electron events

*Energy resolution ??*

# Two questions

What is the best energy resolution that  
can be obtained with a  
**high-pressure xenon gas TPC**

- in principle?
- in practice?

# “Intrinsic” Energy Resolution for Ionization at $^{136}\text{Xe}$ Q-value

Q-value of  $^{136}\text{Xe}$  = 2480 KeV

$W = \Delta E$  per ion/electron pair = 21.9 eV (depends on E-field)

$N = \text{number of ion pairs} = Q/W = 2.48 \times 10^6 \text{ eV} / 22 \text{ eV} = \sim 113,000$

$\sigma_N = (FN)^{1/2} \sim 130 \text{ electrons rms @ 2480 keV}$

$F = 0.13 - 0.17$  for xenon gas; take  $F = 0.15$

$\delta E/E = 2.35 \times (FW/Q)^{1/2}$

Answer to question #1:

$\delta E/E \sim 2.8 \times 10^{-3}$  FWHM @ 2480 keV

(xenon gas - intrinsic ionization fluctuations only)

# Xenon: Strong dependence of energy partitioning on density!

*A. Bolotnikov, B. Ramsey / Nucl. Instr. and Meth. in Phys. Res. A 396 (1997) 360–370*

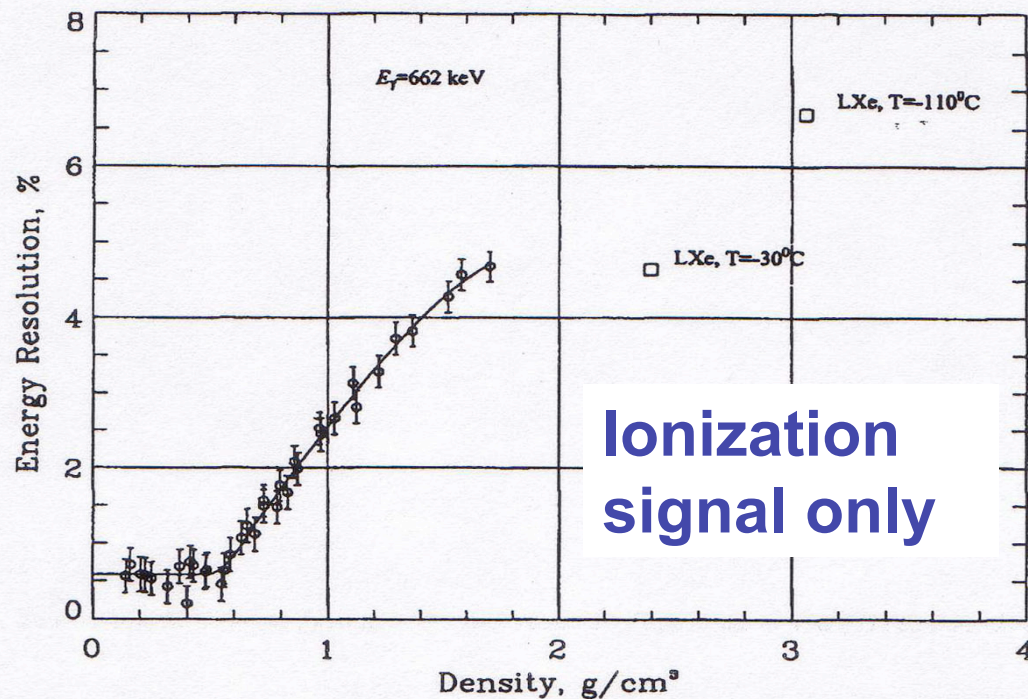


Fig. 5. Density dependencies of the intrinsic energy resolution (%FWHM) measured for 662 keV gamma-rays.

For  $\rho > 0.55 \text{ g/cm}^3$ , energy resolution deteriorates rapidly

# What's happening at densities $\rho > 0.55 \text{ g/cm}^3$ ?

Two phases of xenon coexist (fog, lace,...)

- High atomic density+ ionization density  
     $\Rightarrow$  sites of complete recombination,  
    energy is returned as scintillation & heat
- Landau:  $\Rightarrow$  large  $dE/dx$  fluctuations  
     $\Rightarrow$  non-Gaussian partition of energy

{Scintillation  $\Leftrightarrow$  Ionization}    (+ *HEAT*...)

# Impact for WIMP Search

Scintillation ( $S_1$ ) & Ionization ( $S_2$ ) are signals that can be used to reject electron recoils

But:

LXe:  $S_2/S_1$  fluctuations anomalously large

Strong anti-correlations observed for e-recoils

HPXe:  $S_2/S_1$  fluctuations are normal

Maybe,... HPXe is better (...much better) ??

But:  $S_2/S_1$  ratio in HPXe is not yet well-measured

# Energy Resolution ( $\beta$ particles) in Xenon Gas Detectors

- Intrinsic fluctuations
  - Fano factor (partition of energy): small for  $\rho < 0.55 \text{ g/cm}^3$
- Loss of signal (primary):
  - Recombination, quenching by molecular additives (heat)
- Loss of signal (secondary):
  - Capture by grids or electronegative impurities
- Gain process fluctuations:
  - Avalanche charge gain fluctuations are large
- Gain process stability:
  - Positive ion effects, density and mix sensitivity,...
- Long tracks  $\Rightarrow$  extended signals
  - Baseline shifts, electronic non-linearities, wall effect,...

# Generalization

- If fluctuations are uncorrelated, then\*

$$\sigma_N = ((F + L + G)N)^{1/2}$$

F = Fano factor = 0.15

L = loss of primary ionization

G = fluctuations & noise in gain process

Goal: Keep L and G smaller than F

**Is this possible ??**

\*D. Nygren, *Nucl. Inst. & Meth. A* **581** (2007) 632

# Loss of signal

As long as  $L \ll F$ , losses without correlations\* to F & G, *e.g.*, capture on grids, are forgiving:

- For  $L = 0.05$   $\delta E/E \sim 3 \times 10^{-3}$  FWHM @ 2480 keV
- For TPC, I expect that  $L < 1\%$ , insignificant loss

**Set  $L = 0$**

\*Losses to electronegative impurities are highly correlated to drift distance and each event must receive a specific correction

# Avalanche Charge Gain

## Early fluctuations determine outcome

- for wire ( $E \sim 1/r$ )  $0.6 < G < 0.9$  <sup>\*</sup>
- $\sigma_N = ((0.15 + 0.8)N)^{1/2} = 328$
- $\delta E/E = \sim 7.0 \times 10^{-3}$  FWHM

Lost all benefit from the small Fano factor  
Micromegas, GEM, LEM,... may do better, but  
Serious challenges to maintain gain calibration

<sup>\*</sup> Alkhazov G D *Nucl. Inst. & Meth.* **89** (1970) 155 (for cylindrical proportional counters)

# What is this factor “G”?

- In a very real sense:  
G is a measure of the precision with which a **single** electron can be counted.
- Consider next:
  - Ionization Imaging TPC - no gas gain!
  - Negative Ion TPC - count each electron!
  - Electro-Luminescent TPC ?

# “Ionization Imaging” TPC

## No avalanche gain

- $dn/dx \sim 1 \text{ fC/cm}$ :  $\Rightarrow \sim 6,000$  (electron/ion pairs)/cm
- gridless “naked” pixel plane ( $\sim 5 \text{ mm}$  pads)
- **very high operational stability**

But, electronic noise must be added!

- $\sigma = 50 \text{ e}^- \text{ rms/pixel}$
- $G = \sigma^2/n_e = 50^2/3000 = \sim 0.8$
- $\delta E/E \sim 7 \times 10^{-3} \text{ FWHM}$
- **But: complex signals, many channels, waveform capture, new,... R&D + E needed**

# “Negative Ion” TPC

- “Counting mode” = digital readout,  $(F + L)$
- Electron capture on electronegative molecule
  - Very slow drift to readout plane;
  - Strip electron in high field (?), generate avalanche
  - Count each “ion” as a separate pulse:
    - Ion diffusion much smaller than electron diffusion
    - Avalanche fluctuations and noise enter only as L
    - Pileup and other losses:  $L \sim 0.04$  ? uncorrelated?
    - $\delta E/E = \sim 3 \times 10^{-3} \text{ FWHM ?}$
  - Appealing, but will it work in HPXe?...

# Electro-Luminescence (EL)

(aka Proportional Scintillation)

- Electrons drift to high field region
- Electrons gain energy, excite xenon, lose energy
- Xenon generates UV, process starts over again
- Linear, not exponential growth of signal
- Photon generation up to  $\sim 1000/e$ , but no ionization
- Sensitivity to density much smaller than avalanche
- Early history irrelevant, so  $\Rightarrow$  **Fluctuations small?**
- **Maybe...  $G \sim F$ ?**

H. E. Palmer & L. A. Braby

Nucl. Inst. & Meth. **116** (1974) 587-589

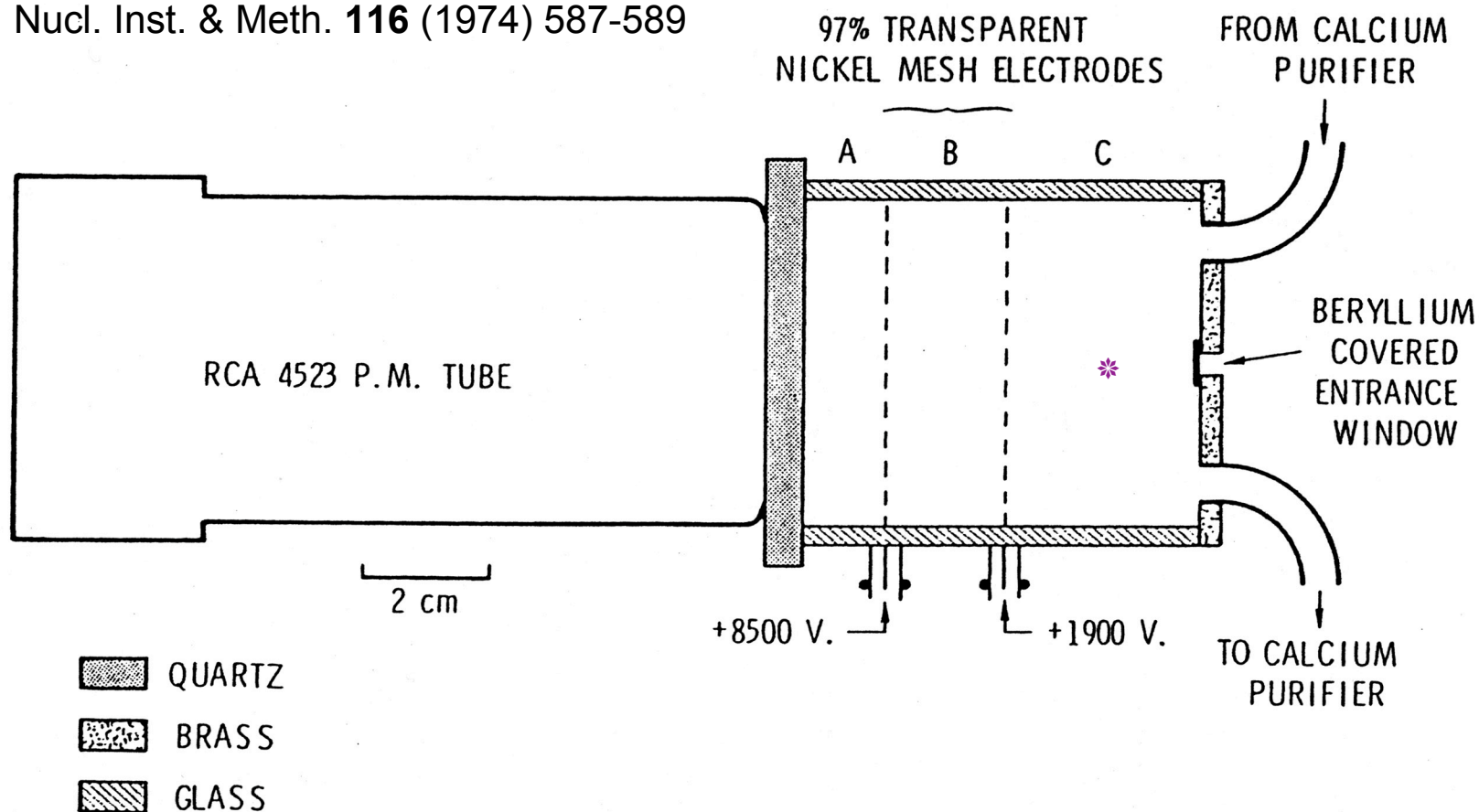


Fig. 1. Sketch of 5 cm diameter parallel plate gas scintillation proportional counter.

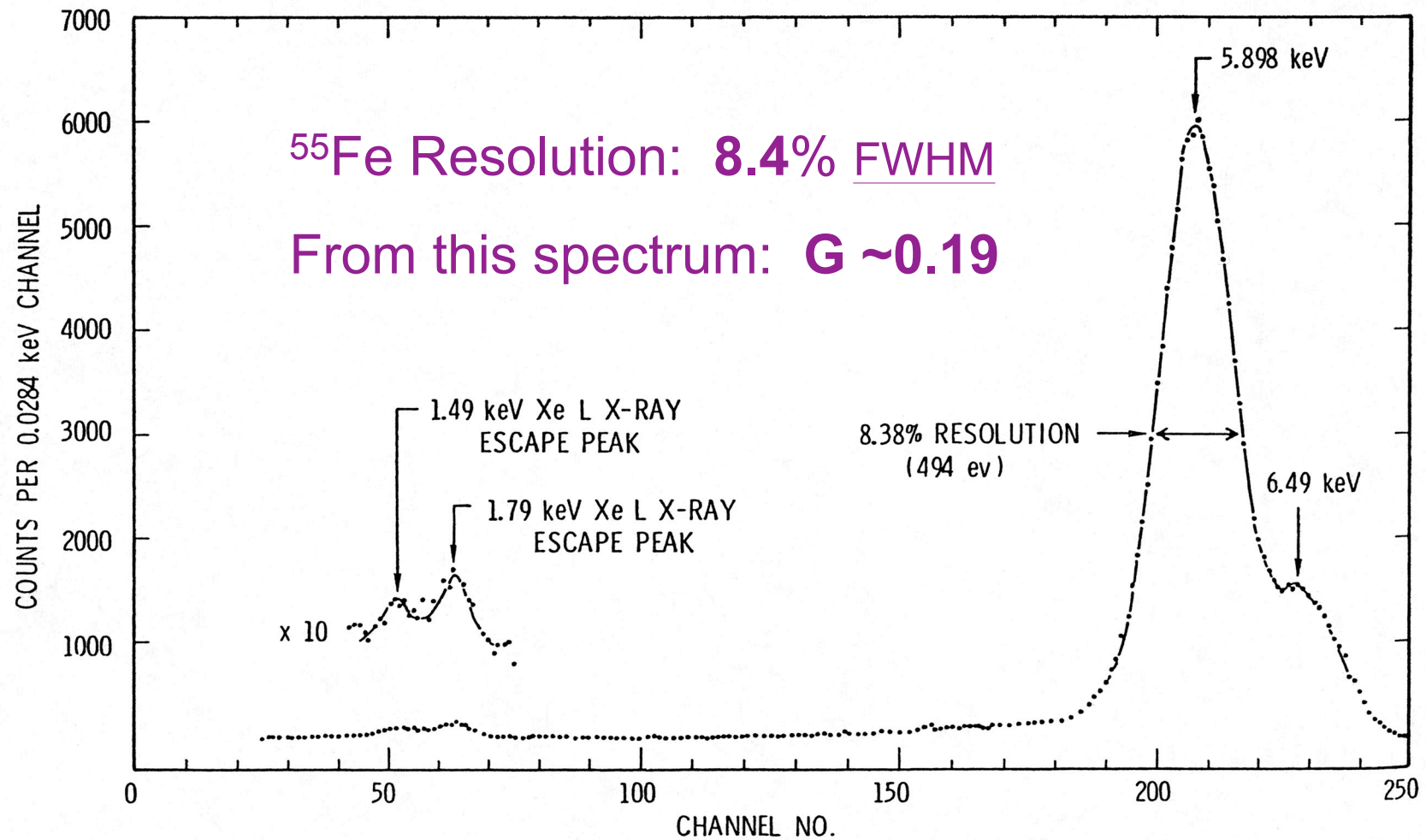


Fig. 2. Pulse-height spectra of an  $^{55}\text{Fe}$  source from a parallel plate gas scintillation proportional counter.

# Fluctuations in EL

**G** for EL contains three terms:

1. Fluctuations in  $n_{uv}$  (UV photons per e):  $\sigma_{uv} = K/\sqrt{n_{uv}}$   
–  $n_{uv} \sim HV/E_\gamma = 6600/10 \text{ eV} \sim \mathbf{660}$   $K < 1$
2. Fluctuations in  $n_{pe}$  (detected photons/e):  $\sigma_{pe} = 1/\sqrt{n_{pe}}$   
–  $n_{pe} \sim \text{solid angle} \times \text{QE} \times n_{uv} \times 0.5 = \mathbf{0.1 \times 0.25 \times 660 \times 0.5} \sim \mathbf{8}$
3. Fluctuations in PMT single PE response:  $\sigma_{pmt} \sim \mathbf{0.6}$

$$\mathbf{G = \sigma^2 = K/(n_{uv}) + (1 + \sigma_{pmt}^2)/n_{pe}) \sim 0.17}$$

$$\text{Assume } F + G = 0.3$$

Ideal energy resolution ( $\sigma^2 = \mathbf{0.3} \times E/W$ ):

$$\mathbf{\delta E/E \sim 4 \times 10^{-3} \text{ FWHM @ 2480keV}}$$

# Electro-Luminescent Readout

- To keep  $G < F = 0.15$ , then:

$$n_{pe} > 10/\text{electron}$$

$$\Rightarrow \Sigma n_{pe} > \mathbf{1,000,000} @ 2480 \text{ keV} !$$

More would be better!

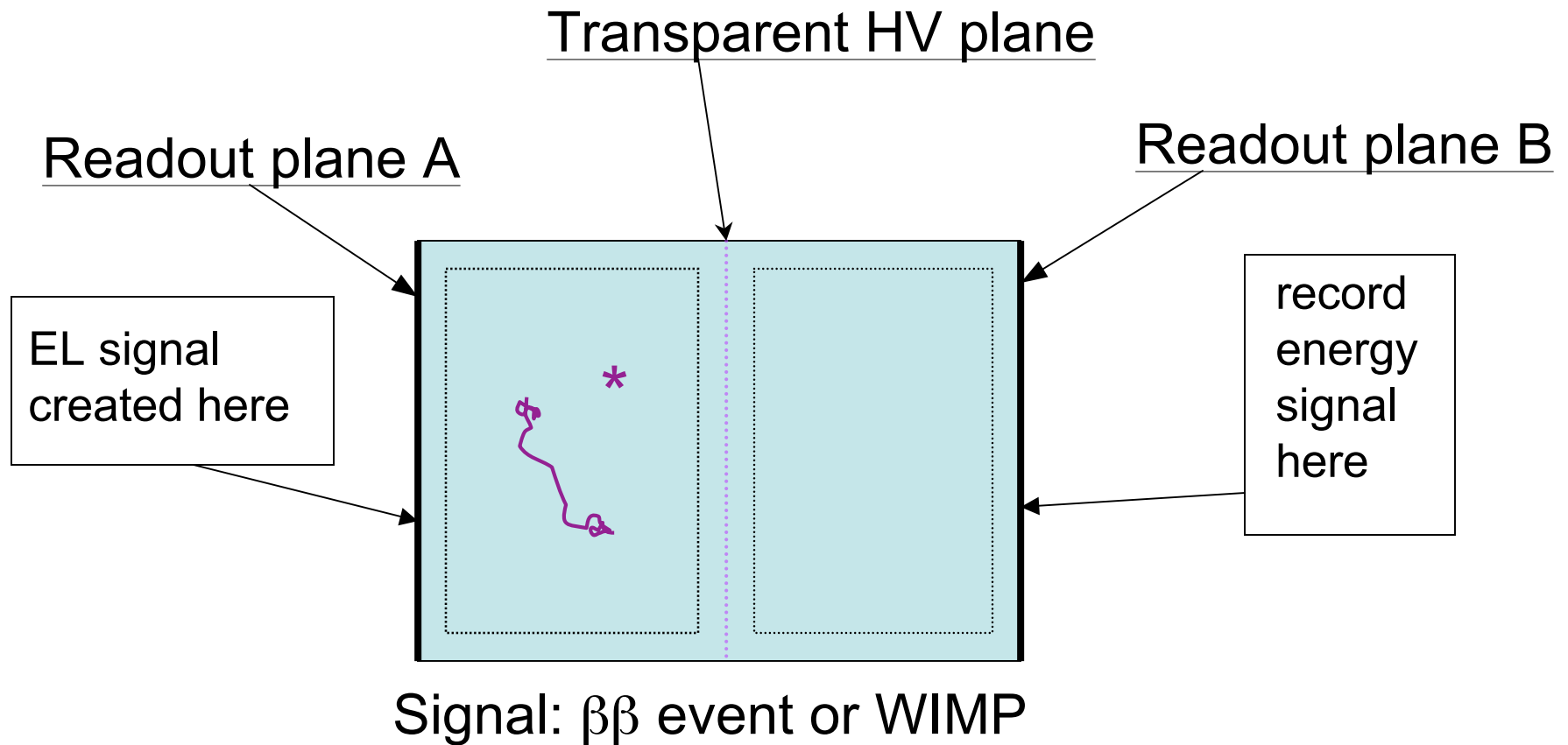
# Electro-Luminescent Readout

How to detect this much signal?

Answer: Use both TPC readout planes

- If EL signal is generated in plane “A”
- do “tracking” in Plane “A”
- but: record “energy” in plane “B”

# TPC Signal



## Pure xenon + EL: energy

- Drift velocity:  $\sim 1 \text{ mm}/\mu\text{s}$  (slow!)
- $\beta\beta$  events occur over 10's of  $\mu\text{s}$
- Hundreds of PMTs contribute to  $\Sigma n_{\text{pe}}$
- $n_{\text{pe}}$  per PMT in plane B:  $\sim 10$  per  $\mu\text{s}$ 
  - no dynamic range problem in plane B
  - gentle cosine effect with solid angle

**Energy measurement in plane B: OK**

# Pure xenon + EL: tracking

$n_{pe}$  per  $\mu s$  per PMT in plane A:  $\sim 2000$

- no saturation problem in plane A
- Track-finding by center-of-gravity
- Track resolution:  $\sigma < 1$  mm
- Track-pair resolution  $\sim 10$  mm ?

**Tracking in plane A: OK**

## EL: How much light?

- Boundary condition:  $n_{pe}/\text{electron} \geq 10$
- Let photon detection efficiency =  $\eta$   
 $\eta = \text{solid angle} \times \text{transparency} \times \text{QE}_{\text{PMT}}$   
Assume reflective TPC field cages  
 $\eta = \pi/(4 \times 4\pi) \times 2 \times 0.9 \times 0.3 = 0.03$
- $n_{pe}/\text{electron} \sim N_{\text{photons}} \times \eta = 10$   
 $\Rightarrow N_{\text{photons}} \geq 300/\text{electron}$   
**Can this be done?**

# Generation of EL in xenon

$$dN/dx = 140(E/p - 0.83)p \text{ UV photons/cm}$$

- $E/p = 8 \text{ kV/cm-bar}$  is maximum for EL only
- $E/p = 0.83 \text{ kV/cm-bar}$  is minimum for any EL
- best resolution obtained from  $E/p \sim 3 - 8$
- Parallel meshes:  
gap for 20 bars:  $< 1 \text{ mm}$

*difficult,... so what about using...*

# Wires!

Wire:  $E(r) = E_0 r_0 / r$  (fix  $E_0 = 8p$ )

$$N_{\text{photons}} = 140 p r_0 \{ (E_0/p) \ln(9) - 5.8 \}$$

$$N_{\text{photons}} = 1650 p r_0 \equiv 300$$

$$\Rightarrow p r_0 \geq 0.2 \text{ (bar-cm)}$$

$$\Rightarrow r_0 = 0.01 \text{ cm for } p = 20 \text{ bars} \quad \text{Easy!}$$

Let's set  $r_0 = 0.15 \text{ cm}$ , then:  $n_{pe} = 15$ ,  $G = 0.08$

$$\delta E/E = 3.4 \times 10^{-3} \text{ FWHM @ } 2480 \text{ keV}$$

# A Wire Plane for EL

- A single “MWPC” readout plane works
  - radius of wire:  $r_0 = 0.015 \text{ cm}$  ( $150 \text{ } \mu\text{m}$ )
  - wire spacing:  $\sim 5 \text{ mm}$
  - field wires needed to obtain  $E_0 = 8p$
  - most light generated on “top” of wire
  - high transparency obtained automatically
  - gap between MWPC and PMT:  $\sim 2 \text{ } \varnothing_{\text{PMT}}$

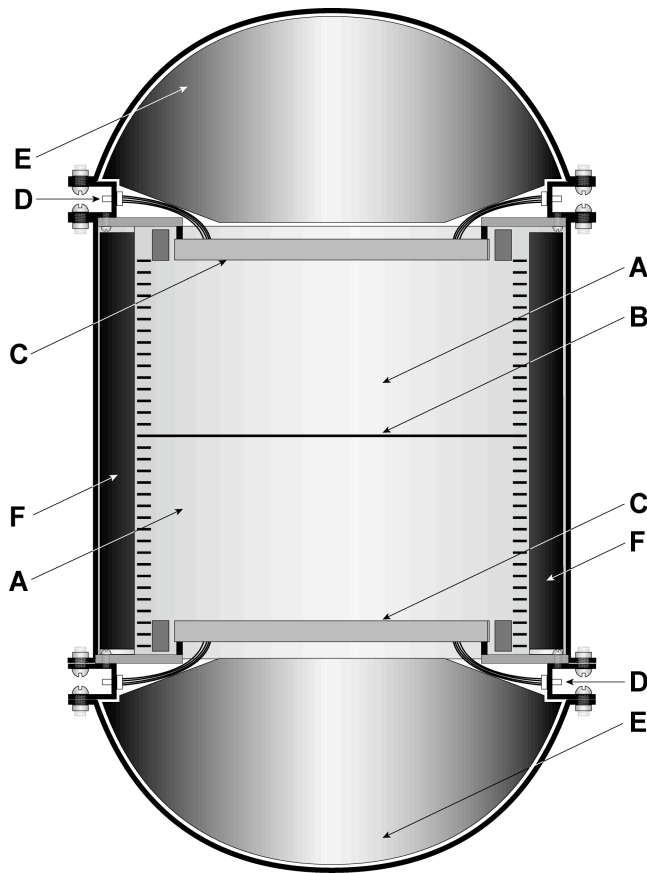
# Answer to Question #2

- Best practical energy resolution:  
TPC with MWPC EL readout planes
  - separated function: tracking (A)  $\leftrightarrow$  energy (B)
  - planes A & B symmetric and equivalent

$\delta E/E = 3.4 \times 10^{-3}$  FWHM @ 2480keV

- Can radio-purity be good enough?
- What is  $S_2/S_1$  for HPXe (nuclear, electron)?

1000 kg Xe:  $\varnothing = 225$  cm,  $2 \times L = 225$  cm  
 $\rho \sim 0.1$  g/cm<sup>3</sup> (~20 bars)



- A. Sensitive volume
- B. HV cathode plane
- C. GPSC readout planes, optical gain gap is ~1-2 mm
- D. Flange for gas & electrical services to readout plane
- E. Filler and neutron absorber, polyethylene, or liquid scintillator, or ...
- F. Field cages and HV insulator, (rings are exaggerated here) possible site for scintillators

# Some Issues...

- HPXE TPC has  $\sim 9\times$  surface area of LXe
  - “Rejection of single-e events  $\gg 30 \times$  LXe”
  - “ $S_2/S_1$  rejection of e-recoils is much better”
- HPXe: use  $<1\%$   $N_2$ , shift UV to  $\sim 340$  nm
  - Better for PMT QE, no penalty in yield

# Perspective

- ✓ Near-intrinsic energy resolution in HPXe EL TPC
- ✓ Ionization signal alone is sufficient to achieve this
- ✓ WIMP +  $\beta\beta$  search: dual-purpose, no compromise
- ✓ keV - MeV energy range: dynamic range OK
- ✓ Both primary signals recorded by photo-detectors
- ✓ Scintillation UV for  $S_1$  &  $t_0$  automatically available
- ✓ EL offers stable, robust operation - no sparks
- ✓ Simple MWPC readout plane appears optimal
- ✓ No cryogenics, easier gas purification, storage, ...
- ✓ Separated function TPC novel, but well-motivated

# EL GRANDE

**E**lectro-**L**uminescence:  
**G**reat **R**ewards **A**wait **N**EXT **D**ouble- $\beta$  **E**xperiment

A photograph of the Golden Gate Bridge at night, with the bridge's structure and suspension cables illuminated against a dark blue sky and water. The bridge spans from the left side of the frame towards the center.

# *Backup Slides*

DM 2008

36

# R&D Summary

- Measure  $S_1/S_2$  ratios and resolutions
  - for both neutrons and gammas in HPXe
  - versus  $\rho$ ,  $N_2$  admixtures
- Determine radio-purity requirements
  - Simulations, for neutron & gamma rejection
  - PMTs
  - Pressure containment, TPC HV, etc,...

# Germanium Diodes

Fano factor: similar to xenon gas:  $\sim 0.13 \pm 0.02$

Energy per electron-ion pair: 2.96 eV

More carriers  $\Rightarrow$  Ge diodes better by  $(22/3)^{1/2} = 2.7?$

$\delta E/E \sim 1 \times 10^{-3}$  FWHM @ 2480 keV, germanium, ideal

$\delta E/E \sim 2.4 \times 10^{-3}$  FWHM @ 2480 keV germanium, real

**Why aren't Ge diodes as good as Ge (ideal)?**

**Factors:** electronic noise, edge effects, trapping,  
complex interactions: Compton, photo-conversion...

# $\Delta E$ : Three Pathways

- When a particle loses energy in xenon, where does the energy go?
  - Ionization
  - Scintillation: VUV  $\sim 170$  nm ( $\tau_1, \tau_2 \dots$ )
  - Heat!
- How is the energy partitioned?
  - Responses differ for  $\alpha$ ,  $\beta$ , nuclei
  - Dependence on xenon density  $\rho$ , E-field
  - Processes still not completely understood

# High-pressure Xenon Gas (HPXe)

## Ionization Chambers

- Positive ions cause a pulse defect - very low mobility
  - Screen grids help, but screening is imperfect
- Microphonic noise is a serious problem
  - absent in germanium diodes
- Electronic noise is significant.
  - Signals are much smaller than germanium:  $3/22 = 1/7$
- Electronegative impurities may capture electrons.
  - Ratio of electron lifetime to drift length must be  $\sim 1000$
- Extended track length at MeV energies?
  - Edge effects, pulse shape variations
- Geminate recombination depends on ***E*** field
  - Substantial effect in cylindrical ionization chambers ( $1/r$ )

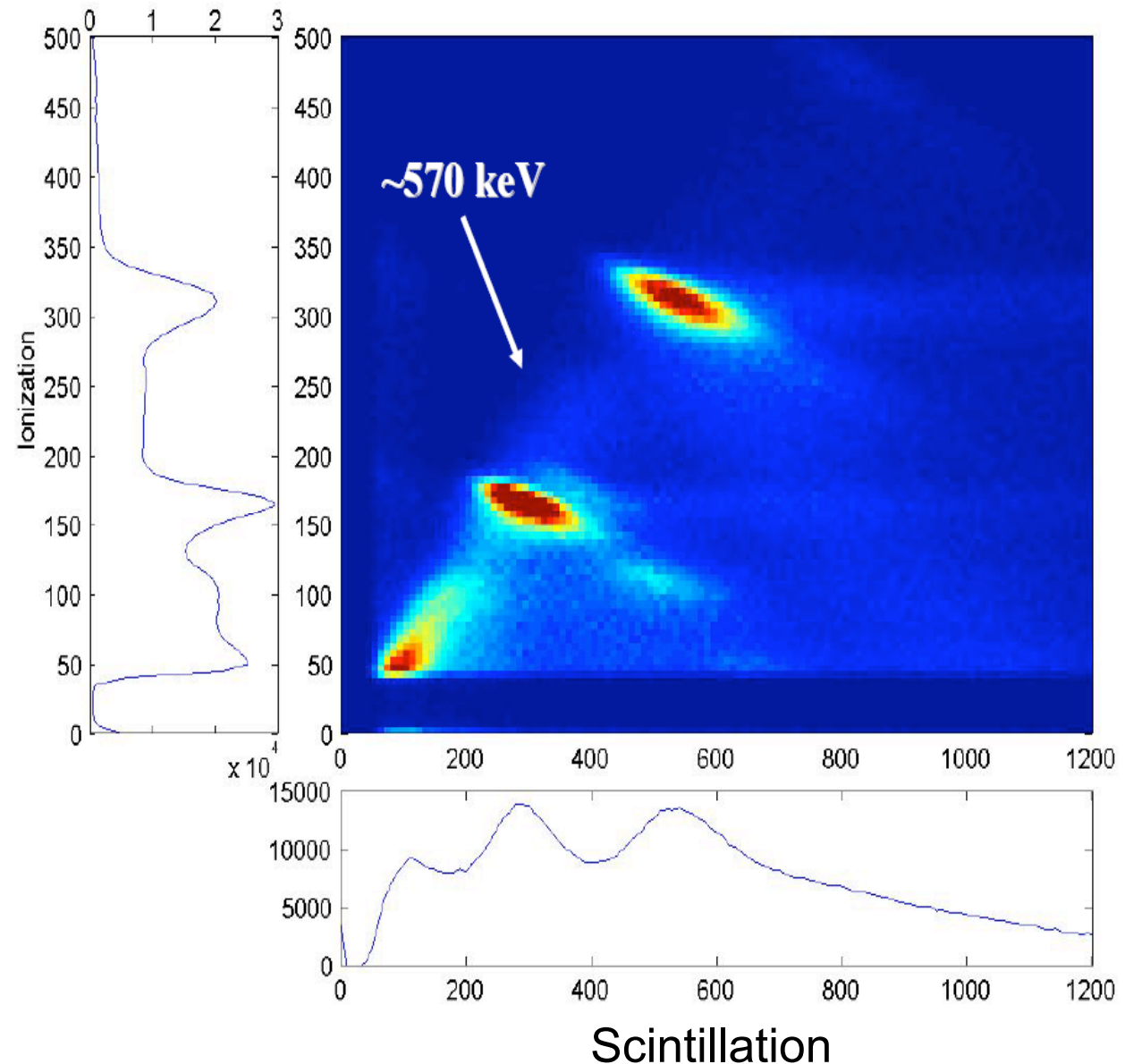
## Liquid xenon

### EXO: LXe TPC

- A **strong anti-correlation** is observed between scintillation and ionization signals
- Anti-correlation also observed in all other LXe data

$\delta E/E = 33 \cdot 10^{-3}$  FWHM  
 $0\nu\text{-}\beta\beta$ ,  $Q = 2480$  keV

♦ What about the tails?



# “Effective Fano Factor” for LXe

Conti *et al*: “F” ~ 20 to match their LXe data

Compare: LXe/HPXe Fano factors:  $(“20”/0.15)^{1/2} = 11.5$

$$\delta E/E = 2.35 \times (FW/Q)^{1/2} \Rightarrow 31 \times 10^{-3} \text{ FWHM}$$

## Anti-correlation (use it!):

Using **both** the scintillation and ionization signals together allows recovery of the total signal (except for heat).

**But:** in practice, only a fraction of the light can be detected; the energy resolution in LXe cannot be as good as intrinsic.

The impact of energy lost to heat on resolution is unknown.

# Molecular physics of xenon

- **Macroscopic:**
    - Critical temperature of xenon: **room temperature**
    - **Gas & liquid phases** can **coexist** together at normal temp
    - Strong departures from ideal gas law: **high compressibility**
  - **Microscopic:**
    - For densities above  $\sim 0.5 \text{ g/cm}^3$ , **fog** or lacework forms
    - Aggregates form a localized quasi-**conduction** band
    - Ionization process  $\Rightarrow$  very non-uniform **dE/dx**
    - Recombination is  $\sim$  **complete** in the regions of high **q/v**
    - Recombination increases **scintillation**, reduces ionization
- $\Rightarrow$  **A non-gaussian partition of energy between ionization & scintillation occurs for  $\rho > 0.5 \text{ g/cm}^3$**

# “Gotthard TPC”

## Pioneer TPC detector for $0\nu\beta\beta$ decay search

- 5 bars, enriched  $^{136}\text{Xe}$  (3.3 kg) + 4%  $\text{CH}_4$
- MWPC readout plane, wires ganged for energy
- No scintillation detection  $\Rightarrow$ 
  - no TPC start signal!
  - No measurement of drift distance!
- $\delta E/E \sim 80 \times 10^{-3}$  FWHM (1592 keV)  
 $\Rightarrow 66 \times 10^{-3}$  FWHM (2480 keV)

Reasons for this less-than-optimum resolution are not clear...

Likely: uncorrectable losses to electronegative impurities

Possible: Undetectable losses to **quenching** (4%  $\text{CH}_4$ )

## $\alpha$ particles

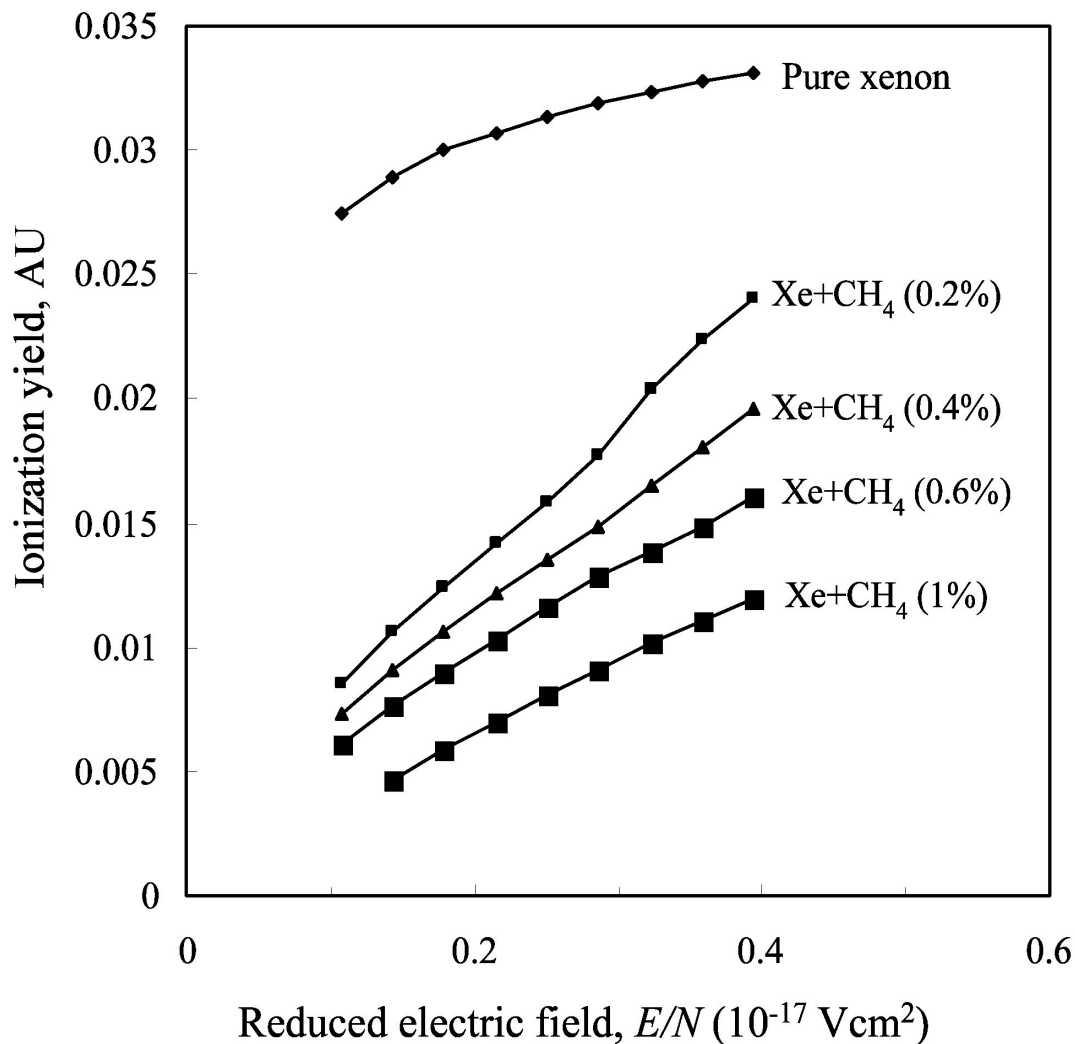


Fig.7. Dependence of ionization yield on reduced electric field ( $E/N$ ) at a pressure of 2.6 MPa. (~25 bars)

K. N. Pushkin *et al*, 2004

IEEE Nuclear Science  
Symposium proceedings

A scary result: adding a tiny amount of simple molecules (CH<sub>4</sub>, N<sub>2</sub>, H<sub>2</sub>) to HPXe quenches both ionization **and** scintillation for  $\alpha$ 's

$\alpha$  particle:  $dE/dx$  is very high

**Gotthard TPC: 4% CH<sub>4</sub>**

**Loss( $\alpha$ ): factor of 6**

For  $\beta$  particles, what was effect on energy resolution?

Surely small but not known, and needs investigation

# Molecular Chemistry of Xenon

- Scintillation:

- Excimer formation:  $\text{Xe}^* + \text{Xe} \rightarrow \text{Xe}_2^* \rightarrow h\nu + \text{Xe}$
- Recombination:  $\text{Xe}^+ + \text{e}^- \rightarrow \text{Xe}^* \rightarrow$

- Density-dependent processes also exist:



- **Two** excimers are consumed to make **one** photon!
  - More likely for both high  $\rho$  + high ionization density
- Quenching of **both** ionization and scintillation can occur!
- $\text{Xe}^* + \text{M} \rightarrow \text{Xe} + \text{M}^* \rightarrow \text{Xe} + \text{M} + \text{heat}$  (similarly for  $\text{Xe}_2^*$ ,  $\text{Xe}^{**}$ ,  $\text{Xe}_2^{**}\dots$  )
- $\text{Xe}^+ + \text{e}^-(\text{hot}) + \text{M} \rightarrow \text{Xe}^+ + \text{e}^-(\text{cold}) + \text{M}^* \rightarrow$
- $\text{Xe}^+ + \text{e}^-(\text{cold}) + \text{M} + \text{heat} \rightarrow \text{e}^-(\text{cold}) + \text{Xe}^+ \rightarrow \text{Xe}^*$

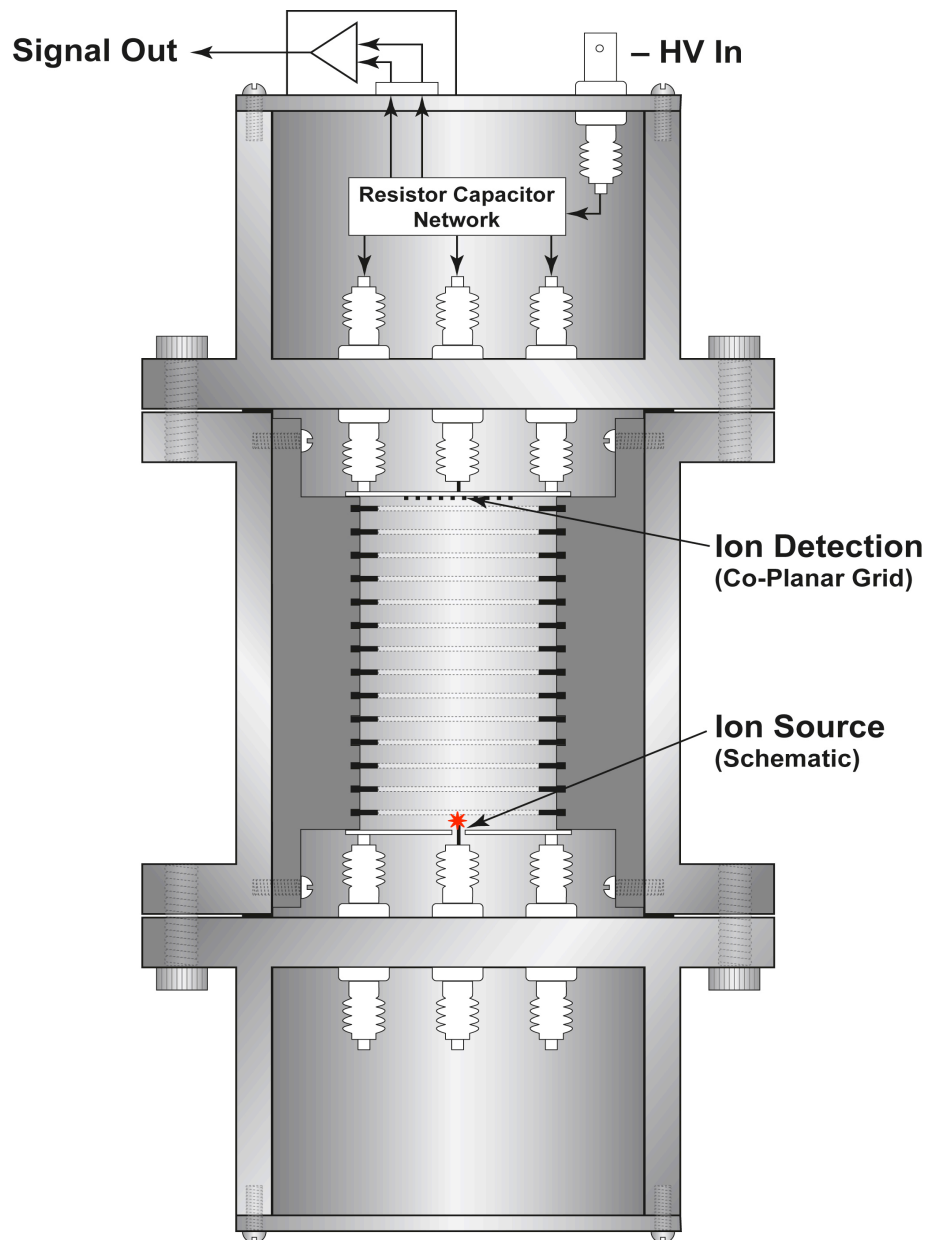
# Barium daughter tagging and ion mobilities...

- $\text{Ba}^+$  and  $\text{Xe}^+$  mobilities are quite different!
  - The cause is resonant charge exchange
  - RCE is macroscopic quantum mechanics
    - occurs only for ions in their parent gases
    - no energy barrier exists for  $\text{Xe}^+$  in xenon
    - energy barrier exists for Ba ions in xenon
    - RCE is a long-range process:  $R \gg r_{\text{atom}}$
    - glancing collisions = back-scatter

RCE increases viscosity of majority ions

# Barium daughter tagging and ion mobilities...

- $\text{Ba}^{++}$  ion survives drift: IP = 10.05 eV
  - IP of xenon is 12.14 eV
- $\text{Ba}^{++}$  ion arrives at HV plane, well ahead of all other  $\text{Xe}^+$  ions
  - Mobility difference, ~50%, is known to be true at low density
- $\text{Ba}^{++}$  ion liberates at least one electron at cathode surface
  - May be an unrealistic fantasy
- Electrons drift back to anode plane, make detectable signal
  - Arriving electron signal serves as “echo” of the  $\text{Ba}^{++}$  ion,
- A very strong constraint on event validity is obtained:
  - Process is automatic!
- Clustering effects are likely to alter this picture!



A small test chamber can show whether ion mobility differences persist at higher gas density (no data now).

This could offer an automatic method to tag the “birth” of barium in the decay, by sensing an echo pulse if the barium ion causes a secondary emission of one or more electrons at the cathode.